

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jian Wang, Xuegong Deng, Greg Blonder, Erli Chen

Application No./Patent No.: 10/644,643

Filed/Issue Date: August 20, 2003

Entitled: METHOD AND SYSTEM FOR PROVIDING BEAM POLARIZATION

API Nanofabrication and Research Corp., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- ☒ the assignee of the entire right, title, and interest in;
- ☐ an assignee of less than the entire right, title and interest in
The extent (by percentage) of its ownership interest is ____%; or
- ☐ The assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Jian Wang, Xuegong Deng, Greg Blonder, Erli Chen To: NanoOpto Corporation
The document was recorded in the United States Patent and Trademark Office at Reel 018874, Frame 0216, or for which a copy thereof is attached.

2. From: NanoOpto Corporation To: API Nanofabrication & Research Corp.
The document was recorded in the United States Patent and Trademark Office at Reel 021531, Frame 0584, or for which a copy thereof is attached.

3. From: NanoOpto Corporation To: API Nanofabrication and Research Corp.
The document was recorded in the United States Patent and Trademark Office at Reel 019817, Frame 0843, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 36 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Kenneth N. Nigon
Signature

Kenneth N. Nigon

Printed or Typed Name

Attorney Authorized to Sign on Behalf of Assignee

Title

Jan 10, 2016
Date

Telephone Number

610-407-0700

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)Assignments on the Web > **Patent Query****Patent Assignment Abstract of Title**

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 3Patent #: [ZZ27684](#)

Issue Dt: 06/05/2007

Application #: 10644643

Filing Dt: 08/20/2003

Publication #: [20040201889](#)

Pub Dt: 10/14/2004

Inventors: Jian Wang, Xuegong Deng, Greg Blonder, Erli Chen

Title: METHOD AND SYSTEM FOR PROVIDING BEAM POLARIZATION

Assignment: 1Reel/Frame: [018874/0216](#)

Recorded: 02/09/2007

Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: [WANG, JIAN](#)

Exec Dt: 02/07/2007

[DENG, XUEGONG](#)

Exec Dt: 02/07/2007

[BLONDER, GREG](#)

Exec Dt: 02/07/2007

[CHEN, ERLI](#)

Exec Dt: 02/07/2007

Assignee: [NANOOPTO CORPORATION](#)

1600 COTTONTAIL LANE

SOMERSET, NEW JERSEY 08873-5117

Correspondent: REED SMITH LLP

RUTH MONTALVO

599 LEXINGTON AVENUE

NEW YORK, NY 10022-7650

Assignment: 2Reel/Frame: [021531/0594](#)

Recorded: 09/16/2008

Pages: 10

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: [NANOOPTO CORPORATION](#)

Exec Dt: 07/17/2007

Assignee: [API NANOFABRICATION AND RESEARCH CORP.](#)

1600 COTTONTAIL LANE

SOMERSET, NEW JERSEY 08873-5117

Correspondent: CHRIS C. BOWLEY

FISH & RICHARDSON P.C.

CITIGROUP CENTER, 52ND FLOOR

153 EAST 53RD STREET

NEW YORK, NY 10022-4611

Assignment: 3Reel/Frame: [019617/0843](#)

Recorded: 07/25/2007

Pages: 10

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: [NANOOPTO CORPORATION](#)

Exec Dt: 07/19/2007

Assignee: [API NANOFABRICATION AND RESEARCH CORPORATION](#)

1600 COTTONTAIL LANE

SOMERSET, NEW JERSEY 08873

Correspondent: ANDREW ABRAMS

SUGAR, FRIEDBERG & FELSENTAL LLP

30 NORTH LASALLE STREET, SUITE 3000

CHICAGO, ILLINOIS 60602

| [.HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)